

NLG(18)064

DATE OF MEETING	27 February 2018
REPORT FOR	Trust Board of Directors – Public
REPORT FROM	Stan Shreeve, NED / Chair of Audit, Risk and Governance Committee
CONTACT OFFICER	Marcus Hassall, Director of Finance
SUBJECT	Audit, Risk and Governance Committee Highlight Report – February 2018
BACKGROUND DOCUMENT (IF ANY)	-
PURPOSE OF THE PAPER:	For Information
EXECUTIVE SUMMARY (PLEASE INCLUDE A BRIEF SUMMARY OF THE PAPER, KEY POINTS & ANY RISK ISSUES AND MITIGATING ACTIONS WHERE APPROPRIATE)	The attached highlight report summarises the key issues presented to, and discussed by the Audit, Risk & Governance Committee at its meeting on the 8 th February 2018.
HAVE STAFF SIDE BEEN CONSULTED ON THE PROPOSALS?	N/A
HAVE THE RELEVANT SERVICE USERS/CARERS BEEN CONSULTED ON THE PROPOSALS?	N/A
ARE THERE ANY FINANCIAL CONSEQUENCES ARISING FROM THE RECOMMENDATIONS?	N/A
IF YES, HAVE THESE BEEN AGREED WITH THE RELEVANT BUDGET HOLDER AND DIRECTOR OF FINANCE, AND HAVE ANY FUNDING ISSUES BEEN RESOLVED?	N/A
ARE THERE ANY LEGAL IMPLICATIONS ARISING FROM THIS PAPER THAT THE BOARD NEED TO BE MADE AWARE OF?	N/A
WHERE RELEVANT, HAS PROPER CONSIDERATION BEEN GIVEN TO THE NHS CONSTITUTION IN ANY DECISIONS OR ACTIONS PROPOSED?	N/A
WHERE RELEVANT, HAS PROPER CONSIDERATION BEEN GIVEN TO SUSTAINABILITY IMPLICATIONS (QUALITY & FINANCIAL) & CLIMATE CHANGE?	N/A
THE PROPOPSALS OR ARRANGEMNTS OUTLINED IN THIS PAPER SUPPORT THE ACHIEVEMENT OF THE TRUST OBJECTIVE(S)	N/A
THE PROPOSAL OR ARRANGEMENTS OUTLINED IN THIS PAPER ENDORSE COMPLIANCE WITH THE REGULATORY OR GOVERNANCE REQUIREMENTS LISTED	N/A
THE PROPOSALS OR ARRANGEMENTS OUTLINED IN THIS PAPER TAKE ACCOUNT OF REQUIREMENTS IN RESPECT OF EQUALITY & DIVERSITY	N/A
ACTION REQUIRED BY THE BOARD	The Board is asked to note the report and consider the need for any further actions to address issues highlighted in the report.

Highlight Report to the Trust Board

Report for Trust Board Meeting on:	27 th February 2018
Report From:	Audit, Risk and Governance Committee held on 8 th February 2018
<ol style="list-style-type: none"> 1. Waiting List Validation Review – the paper presented showed clearly what has been achieved and where work remains ongoing. It was suggested that Board scrutiny of this area needed re-instating to provide further necessary support. The Committee agreed that this would be a useful area for a Board Briefing topic. 2. Document Control – the Committee remain concerned at the level of very old (2014 and 2015) controlled documents which remain overdue for review. The W&C Division were however recognized as a good example of a Division keeping on top of their controlled documents. 3. BAF and Strategic Risk Register – Transfer of Workforce, Sustainability and Transformation Committee responsibilities. Meeting being held on 20.2.18 to review and then submit proposals to the Board. The Committee is in support of this meeting to allocate assurance responsibilities appropriately. 4. The ARG Committee received and agreed a marked up Standing Financial Instructions which is complete but for final changes on management structures and job titles. Scheme of Delegation was also received and agreed in tabular form with final draft to be circulated to ARG members by end of February for review in advance of proposing to Trust Board in March. 5. The ARG Committee reviewed and agreed the accounting principles to be used for the forthcoming Statutory Accounts. 	
Action Required by the Trust Board:	
<p>The Trust Board is asked to note the key points raised by the Committee, and consider any further action needed.</p> <p>Stan Shreeve Non-Executive Director and Chair of Audit, Risk and Governance Committee</p>	